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8 **Spheroid culture enhances osteogenic potential of periodontal ligament mesenchymal stem**
9 **cells**

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1 **Key words:**

2 spheroid, periodontal ligament mesenchymal stem cells, osteogenesis, bone regeneration

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1 **Abstract**

2 **Objective and Background:** Human periodontal ligament mesenchymal stem cells
3 (hPDLMSC) are reported to be responsible for homeostasis and regeneration of periodontal
4 tissue. Although hPDLMSC are commonly cultured in monolayers, monolayer cultures have
5 been reported as inferior to three-dimensional cultures such as spheroids, which are spherical
6 clusters of cells formed by self-assembly. The aim of this study was to examine the osteogenic
7 phenotype of spheroids of hPDLMSC, compared with monolayer cultures of hPDLMSC, *in*
8 *vitro* and *in vivo*.

9 **Materials and Methods:** Spheroids were formed using microwell chips that were tagged with
10 polyethylene glycol (PEG). Mesenchymal stem cell (MSC) markers in hPDLMSC spheroids
11 were examined by flow cytometer. Real-time polymerase chain reaction (PCR) analysis was
12 examined to measure the expressions of stemness markers and osteogenesis related genes in
13 monolayer and spheroid-cultured hPDLMSCs. Immunofluorescence analysis was performed to
14 confirm protein expressions of stemness markers in PDLMSC spheroid. Nodule formation assay,
15 alkaline phosphatase (ALP) activity assay and transplantation assay in a mouse calvarial defect
16 model were performed to confirm the osteogenic potential of hPDLMSC spheroid. To elucidate
17 the mechanism of spheroid culture enhanced osteogenesis in hPDLMSC with osteoinductive
18 medium (OIM), a small interfering RNA (siRNA) assay targeted with secreted frizzled-related

1 protein 3 (SFRP3) was examined. The levels of SFRP3 expression in monolayer and
2 spheroid-cultured hPDLMSC with OIM were measured by real time PCR and western blotting
3 analysis. ALP gene expression and ALP activity were examined in SFRP3 deficient hPDLMSC
4 spheroids.

5 **Results:** The hPDLMSC spheroids expressed MSC markers, which were similar to hPDLMSC
6 grown in monolayer cultures. Intriguingly, the protein and mRNA expressions of transcription
7 factors that regulate 'stemness' were significantly increased in hPDLMSC spheroids, compared
8 with hPDLMSC in monolayer cultures. Nodule formation by hPDLMSC was significantly
9 increased in spheroid cultures grown with osteoinductive medium, compared with
10 monolayer-cultured hPDLMSC. ALP activity and expression of osteogenesis-related genes
11 were also significantly enhanced in hPDLMSC spheroids, compared with monolayer cultures.
12 Treatment with hPDLMSC spheroids significantly enhanced new bone formation in a murine
13 calvarial defect model, compared with hPDLMSC in monolayer culture. Finally, to elucidate
14 mechanisms by which spheroid culture enhances ALP activation in hPDLMSC grown with
15 osteoinductive medium, an siRNA assay was used to manipulate expression of SFRP3, a Wnt
16 signaling antagonist. Knockdown of SFRP3 suppressed ALP gene expression in hPDLMSC
17 grown in osteoinductive medium; further, it suppressed ALP activity in spheroid culture. These
18 data suggest that the enhancement of osteogenic potential in hPDLMSC spheroids is regulated

1 through SFRP3-mediated ALP activation.

2 **Conclusion:** Spheroid cultures of hPDLMSC may be a novel and useful tool in regenerative

3 medicine.

1 **1. Introduction**

2 Periodontal ligament mesenchymal stem cells (PDLMSC) predominate in the
3 periodontal ligament (PDL). PDLMSC can serve as multipotent stem cells, differentiating into
4 osteoblasts, chondroblasts and adipocytes in the appropriate induction media (1-3); they can
5 also differentiate into osteoblasts of alveolar bone or cementoblasts of cementum within the
6 periodontal tissue microenvironment (1, 4). Thus, PDLMSC could be useful for regenerative
7 therapy of periodontal tissue such as alveolar bone. Prior research has shown that the
8 implantation of hPDLMSC—grown in monolayer culture—can regenerate periodontal tissue in
9 animal models (5-7).

10 Human MSC and hPDLMSC are commonly cultured as 2D monolayers. However,
11 many studies have shown wide differences between 2D and 3D cultures (8). 3D culture provides
12 superior cellular heterogeneity, nutrient and oxygen gradients, cell-cell interactions, matrix
13 deposition, and gene expression profiles (9-13). Spheroids—spherical clusters of cells formed
14 by self-assembly—are a useful model for 3D culture (14). Recently, it was reported that
15 spheroids of rat MSC possess enhanced osteogenic potential, compared with monolayer cultures
16 (15).

17 The formation of spheroid is determined by culture environment in which cell-cell
18 adhesion is greater than cell-material adhesion. Hanging drop culture (16, 17), round-bottomed

1 96-well culture (16, 18) and agitation or rotational culture (19) have been known as methods for
2 spheroid formation. Generally, formation of a lot of spheroids can be achieved by agitation or
3 rotational culture methods. However, these methods are difficult to control the size and diameter
4 of spheroids. In contrast, the size and diameter of spheroids can be controlled by hanging drop
5 culture and round-bottomed 96 well culture methods, although these methods are not suited to
6 mass production of spheroids and pose difficulties with regard to handling. Nakazawa et al.
7 established a procedure for spheroid formation by using microwell chips to resolve these
8 problems. Microwell chip allows effective production homogenous spheroid and the size of
9 spheroid can be controlled by changing the microwell scale of the chip (20). Our current study
10 utilizes microwell chips for spheroid formation that have been tagged with polyethylene glycol
11 (PEG) to prevent attachment to cells (20, 21). Hence, spheroids cultured in our microwell chips
12 do not require trypsin to detach from the wells.

13 In this study, we examined the ‘stemness’ of spheroid hPDLMSC isolated from human
14 PDL (hPDL). Furthermore, we investigated the osteogenic potential of hPDLMSC spheroids,
15 compared with monolayer cultures via *in vitro* and *in vivo* assays, to elucidate whether
16 hPDLMSC spheroids facilitate enhanced bone regeneration.

17

18 **2. Materials and Methods**

1 **2.1. Reagents**

2 Fluorescein isothiocyanate (FITC) mouse anti-Human CD34, CD44, CD45, CD73,
3 CD90, CD105, CD106, CD146 and mouse immunoglobulin G1 (IgG) k Isotype control were
4 purchased from Becton Dickinson and CD29-FITC was purchased from Beckman Coulter
5 (Fullerton, CA, USA). An anti-SFRP3 mouse monoclonal antibody was purchased from Santa
6 Cruz Biotechnology (Santa Cruz, CA, USA). An anti- β -actin mouse monoclonal antibody was
7 purchased from Sigma-Aldrich (St. Louis, MO, USA). An anti-mouse IgG-HRP was obtained
8 from GE Healthcare (Little Chalfont, England). Anti-OCT4 rabbit polyclonal antibody and
9 anti-NANOG rabbit monoclonal antibody were purchased from Cell Signaling Technology
10 (Danvers, MA, USA). Alex Fluor 488-conjugated goat anti-rabbit IgG was obtained from
11 Thermo Fisher Scientific (Waltham, MA, USA). Medetomidine hydrochloride (0.3 mg/kg)
12 (Wako; Osaka, Japan), midazolam (4 mg/kg) (Dormicum[®], Astellas Pharma, Tokyo, Japan) and
13 butorphanol tartrate (5 mg/kg) (Vetorphale[®], Meiji Seika Pharma, Tokyo, Japan) were used as
14 anesthetic agents.

15 **2.2. Cell culture**

16 hPDLMSC were obtained as described previously (3) under approval from Kyushu
17 Dental University Ethics Committee (Protocol #14-21). hPDLMSC were isolated from the PDL
18 of freshly extracted wisdom teeth; teeth were obtained from patients who were treated for

1 impaction, after informed consent was obtained. The wisdom teeth were extracted, washed five
2 times with Phosphate-Buffered Saline (PBS) (Thermo Fisher Scientific) containing antibiotics
3 (100 U/mL penicillin and 100 µg/mL streptomycin; Wako). The PDL was removed from the
4 surface of the mid-third of the tooth root and subsequently digested in a solution of 1 mg/mL
5 collagenase Type 1 (Wako) and 1200 PU/mL dispase (Wako) in alpha minimal essential
6 medium (α -MEM) (Thermo Fisher Scientific) for 1 h at 37°C with shaking. Single cell
7 suspensions were obtained by passing the cells through a 70 µm strainer (Corning, Corning, NY,
8 USA). Then, cells were plated onto 100-mm culture dishes (Iwaki, Shizuoka, Japan) and
9 maintained in α -MEM containing 10% fetal bovine serum (FBS; Biosera, Nuaille, France), 100
10 U/mL penicillin and 100 µg/mL streptomycin (Wako), in a humidified atmosphere with 5% CO₂
11 at 37°C. After 24 h, unattached cells were removed and new medium was added. When
12 confluent, cells were harvested using 0.25% trypsin and 1mM ethylenediaminetetraacetic acid
13 (EDTA; Wako), then transferred to 150-mm culture dishes. Subculture was performed every 4
14 days until passage 4–5. Finally, we confirmed adipogenesis of hPDLMSC by monolayer culture
15 with adipogenic induction medium and chondrogenesis of hPDLMSC by pellet culture with
16 chondrogenic induction medium(data not shown).

17 **2.3. Microwell chip**

1 Microwell chips for hPDLMSC spheroid formation were prepared as previously
2 published (20, 21). In present study, the microwell chip with 500 μm diameters and 500 μm
3 depths was designed. The multimicrowell structure of the chip was fabricated by a milling
4 system (PMT corp, Fukuoka, Japan). The chip surface was coated with a layer of platinum by
5 using an ion sputter unit (Hitachi Hig-Tech Science Systems corp, Ibaraki, Japan). The chip was
6 immersed in 5 mM PEG in ethanol solution and then, washed with distilled water, followed by
7 rinsing 50% ethanol for removal of the unattached PEG and sterilization. Finally, the chip was
8 immersed in the culture medium prior to use.

9 ***2.4. Spheroid formation***

10 Cells were cultured at a concentration of 2,000 cells per well of microwell chips
11 placed in a 35 mm culture dish in 2ml of α -MEM containing 10% FBS. The medium was
12 changed every 2 days. Spheroid culturing of hPDLMSC was performed in a humidified
13 atmosphere with 5% CO_2 at 37°C. The diameter of each spheroid colony of hPDLMSC was
14 measured under a microscope (Olympus, Tokyo, Japan) at 6, 9, 12, 24, 48, and 72 h after
15 harvest (n=7). To assess the cell viability of hPDLMSC in spheroid culture, a live/dead assay
16 was performed using the Live/Dead Viability/Cytotoxicity Kit (Thermo Fisher Scientific),
17 according to the manufacturer's protocol.

18 ***2.5. Flow cytometry analysis***

1 After 1 day of culture, spheroid and monolayer cultures of hPDLMSC were
2 resuspended at 10^6 cells/mL in 100 μ L PBS with 2% FBS, then incubated for 30 min at 4°C
3 with FITC-coupled primary antibodies specific for human CD29, 34, 44, 45, 73, 90, 105, 106
4 and 146. For isotype control, FITC-coupled nonspecific mouse IgG1 was substituted for the
5 primary antibody. Fluorescence of cells was determined via flow cytometer (COULTER Epics
6 XL; Beckman Coulter).

7 ***2.6. Immunofluorescence staining***

8 The hPDLMSC were cultured in Lab-TeK Chamber Slide (Thermo Fisher Scientific)
9 for 24 h for monolayer sample. The spheroid were also cultured in microwell chip for 24 h. The
10 cells were fixed with 4% paraformaldehyde (PFA) (Wako) at room temperature for 20 min and
11 incubated with 0.025% TritonX-100 (Wako) at room temperature for 5 min. After wash with
12 PBS, the cells were blocked by 1% bovine serum albumin (BSA) (Wako) and immunostained
13 with specific antibodies for OCT4 (#2750, Cell Signaling Technology) (1:200) and NANOG
14 (#4903, Cell Signaling Technology) (1:400) overnight at 4 °C. After another wash with PBS, the
15 cells were incubated with secondary antibody, Alex Fluor 488-conjugated goat anti-rabbit IgG
16 (1:400) at room temperature for 1 h. Coverslips were mounted in Vectashield (Vector
17 laboratories, Burlingame, CA, USA) containing 4,6-Diamidino-2-phenylindole (DAPI) to
18 counterstain the nuclei. The cells were observed using a fluorescence microscope (BZ-9000;

1 Keyence, Osaka, Japan). OCT4 and NANOG positive area were measured using NIH image J
2 software (NIH; Bethesda, MD, USA).

3 **2.7. Real-time PCR**

4 Real-time PCR was performed as described previously (22). Total cellular RNA was
5 isolated from spheroid and monolayer cultures of hPDLMSC with an RNeasy mini kit (Qiagen,
6 Hilden, Germany), according to the manufacturer's instructions. RNA was reverse transcribed
7 with a High-Capacity RNA-to-cDNA™ Kit (Thermo Fisher Scientific) and amplified for 60
8 min at 37°C, then denatured for 5 min at 95°C. For real-time RT-PCR, PCR products were
9 detected using FAST SYBR® Green Master Mix (Thermo Fisher Scientific), using the
10 respective primer sequences shown in Table 1. Thermal cycling and fluorescence detection were
11 performed using a StepOne™ real-time system (Applied Biosystems). Relative changes in gene
12 expression were calculated using the comparative CT method. Total cDNA abundance between
13 samples was normalized using primers specific to the *GAPDH* gene. The primers are listed in
14 Table 1.

15 **2.8. Osteogenesis assay**

16 To induce mineralization, culture medium was changed to hMSC Osteogenic
17 Differentiation Medium (Lonza, Basel, Switzerland) for the respective experimental time
18 periods. The osteogenic differentiation medium was changed every other day. Microwell chip

1 was placed in a 35mm dish. In changing medium, we were careful not to aspirate spheroids in
2 microwechips. Cells were fixed with 4% PFA for 10 min and stained with 1% alizarin red
3 solution. To quantify mineralization, the area of alizarin red-positive colonies was imaged and
4 analyzed using ImageJ.

5 **2.9. WST-8 assay**

6 The proliferation rates of monolayer and spheroid cultured hPDLMSC were measured
7 using a Cell Counting kit-8 (Doujindo, Kumamoto, Japan) according to manufacturer's
8 instruction. In brief, the hPDLMSC were seeded in 96 well plates at density 3,500 cells / well
9 for monolayer culture samples. The spheroids formed from 2,000 hPDLMSC were cultured in
10 microwell chips. On the respective time point, the cultures were then transferred to 96-well
11 plates. To each well was added 10 μ L CCK-8 solution. The plates were incubated at 37°C for
12 90 min in a humidified atmosphere of 5% CO₂. The absorbance of supernatant was measured at
13 450nm.

14 **2.10. ALP activity**

15 ALP activity was measured using the LabAssay™ ALPsystem (Wako), according to
16 the manufacturer's protocol. To measure ALP activity, the hPDLMSC from monolayer cultures
17 and spheroid cultures were washed with PBS and lysed with mammalian protein extraction
18 reagent, according to the manufacturer's instructions. ALP activity was measured using the

1 LabAssay™ ALPsystem (Wako) with *p*-nitrophenyl phosphate as the substrate. The optical
2 density of *p*-nitrophenol was measured as the absorbance at 405nm. The protein content was
3 measured with DC™ protein assay (Bio-Rad, Hercules, CA, USA) to normalize enzyme
4 activity.

5 ***2.11. The murine calvarial bone defect model***

6 Six-week-old female C57BL/6N mice (Kyudo, Saga, Japan) were anesthetized with an
7 intraperitoneal injection of a mixture of anesthetic agents (Medetomidine hydrochloride (0.3
8 mg/kg) (Wako), midazolam (4 mg/kg) (Dormicum®, Astellas Pharma) and butorphanol tartrate
9 (5 mg/kg) (Vetorphale®, Meiji Seika Pharma)). A calvarial bone defect on the right side, 3 mm
10 in diameter, was created in the dorsal fragment of the parietal bone using a trephine bur (Helmut
11 Zepf, Seitingen-Oberflacht, Germany). The mice were divided into 4 groups, defects were (1)
12 left unfilled (sham operation; n=5); (2) filled with matrigel (Corning; n=5); (3) filled with
13 monolayer-cultured hPDLMSC (3.0×10^4 cells), combined with matrigel (n=6); (4) filled with 15
14 hPDLMSC spheroids cultured for 3 days, combined with matrigel (n=6). After implantation, the
15 periosteum and scalp were repositioned and sutured. After 2 weeks, all mice were sacrificed by
16 anesthetic overdose. These protocols and procedures were approved by the Animal Care and
17 Use Committee of Kyushu Dental University (Protocol #15-015).

18 ***2.12. Radiological examination of samples***

1 After sacrifice, the site of implantation and adjacent tissue were excised, and
2 radiological analysis was performed as follows. Dental radiography devices (90 kVp, 5.5 mA ;
3 Panpas-E; YOSHIDA, Tokyo, Japan) were applied to evaluate bone density in the defect area.
4 The developed dental films were captured as 2D images with a scanner (CanoScan 9950F;
5 Canon, Tokyo, Japan), and the scanned images were analyzed using ImageJ. Bone density
6 within the selected circular defect was calculated and all data were collected for statistical
7 analysis by the same researcher.

8 ***2.13. Histological assessment***

9 After radiological assessment, tissue specimens were fixed with 4% PFA (Wako) for 2
10 days and decalcified with Morse's solution for 3 days at 4°C. Samples were trimmed,
11 dehydrated, and embedded in paraffin, then cut into 8 µm-thick sections and mounted on slides.
12 Prepared slides were stained with hematoxylin/eosin to visualize histological changes. Sections
13 were examined using a light microscope (BX50, Olympus). The following parameters were
14 measured: (1) distance between the margins of the original surgical defect (defect length, DL);
15 (2) length of the internal bone bridging formation (defect closure, DC); (3) total defect area
16 (TA), determined by identifying the external and internal surfaces of the original calvarium at
17 the right and left margins of the surgical defect, then connecting these surfaces with lines drawn
18 along their respective curvatures; and (4) region stained with hematoxylin/eosin in the TA,

1 which was color-extracted and defined as newly formed bone area (NBA). The NBA was also
2 calculated as a percentage of the TA. Linear measurement of the DC was calculated as a
3 percentage of the defect length within each defect. The measurements of each parameter were
4 analyzed using ImageJ.

5 **2.14. Western blotting**

6 Western blotting was performed as described previously (22). Cells were washed with
7 cold PBS, and whole-cell lysates were prepared by addition of Cell Lysis Buffer (Cell Signaling
8 Technology) that contained a protease inhibitor mixture (Thermo Fisher Scientific). Protein
9 concentrations were measured using the DC™ protein assay kit (Bio-Rad). Equivalent amounts
10 of protein were separated by sodium dodecyl sulfate polyacrylamide gel electrophoresis and
11 transferred to a FluoroTrans® W Membrane (NIPPON Genetics, Tokyo, Japan). Non-specific
12 binding sites were blocked by immersing the membrane in Blocking One buffer (Nacalai
13 Tesque) for 30 min at room temperature. Membranes were subjected to overnight incubation
14 with diluted primary antibodies (SFRP3 (SC-5114350; Santa Cruz), 1:1000; β -actin (A1978;
15 Sigma–Aldrich), 1:10000) at 4°C. Membranes were then incubated with horseradish
16 peroxidase-conjugated anti-mouse IgG as secondary antibodies (GE Healthcare) for 1 h at room
17 temperature. After washing the membranes, chemiluminescence was produced using ECL
18 reagent (GE Healthcare) and detected digitally with a ChemiDoc™ XRS Plus system

1 (Bio-Rad).

2 **2.15. RNA interference**

3 Small interfering RNAs (siRNAs) for SFRP3 (s5368) and the non-targeting control
4 (4390843) were purchased from Applied Biosystems. Monolayer-cultured hPDLMSC (20,000
5 cells) and hPDLMSC spheroids (10 each) were plated on a 24-well plate and grown to
6 semiconfluence. Each siRNA was used to transfect the hPDLMSC culture via Lipofectamine
7 RNAiMAX reagent (Thermo Fisher Scientific). Cells were treated, or not treated, with
8 osteoinductive supplements for 7 days. The cells were harvested for RNA and whole cell lysate
9 preparation.

10 **2.16. Statistical analysis**

11 Data are presented as mean \pm SD of five samples and all experiments were performed
12 at least three times. Statistical analysis was performed with Statview (SAS Institute Japan,
13 Tokyo, Japan) software, using Student's t-test and Bonferroni for comparison. p -values < 0.05
14 were considered to be statistically significant.

15

16 **3. Results**

17 **3.1. hPDLMSC spheroid formation**

18 First, we examined the generation of hPDLMSC spheroids from hPDL at a density of

1 1,000, 2,000 or 4,000 cells per well, using microwell chips. These microwell chips were tagged
2 with PEG to prevent attachment to cells during spheroid formation (20, 21). Although spheroids
3 formed from 2,000 and 4,000 hPDLMSC were circular, spheroids from 1,000 hPDLMSC were
4 slightly irregular (Fig. 1A). Then, we examined spheroids from 2,000 hPDLMSC in this study.
5 Culture of hPDLMSC in microwell chips (diameter: 500 μ m) generated a single spheroid per
6 well. At 6 h post-seeding, hPDLMSC spontaneously aggregated in the medium. The hPDLMSC
7 aggregates formed compact multicellular spheroids 9 h post-seeding (Fig. 1B). We measured
8 the diameter of spheroids of hPDLMSC during culture. The size of hPDLMSC spheroids
9 decreased over time (Fig. 1C). Central necrosis has been occasionally observed in large
10 spheroids (23). To assess cell viability, a live/dead viability/cytotoxicity assay was performed
11 on hPDLMSC spheroid that had been cultured for 3 days. Red fluorescence indicated dead cells,
12 while green fluorescence indicated presence of live cells. Although some dead cells were seen
13 in hPDLMSC spheroids, most cells were viable (Fig. 1D).

14 ***3.2. Characterization of hPDLMSC spheroids***

15 There are reports that hPDLMSC express MSC-related cell markers (2,3). To confirm
16 expression of MSC markers in hPDLMSC spheroids, we performed fluorescence-associated cell
17 sorting analysis, using CD29, 44, 73, 90, 105, 106 and 146 as MSC-positive markers, and CD34
18 and 45 as MSC-negative markers. As reported previously, monolayer-cultured hPDLMSC

1 strongly expressed CD29, 44, 73, 90, 105, 106 and 146, but did not express CD34 and 45 (Fig.
2 2A). Similarly, hPDLMSC spheroids expressed CD29, 44, 73, 90, 105, 106 and 146, but did not
3 express CD34 and 45. We next examined expression of *OCT4* and *NANOG*, which are
4 important transcription factors in the regulation of ‘stemness,’ and in the self-renewal of a
5 variety of stem cells. Real-time PCR analysis indicated that the expression of both *OCT4* and
6 *NANOG* was significantly increased in spheroid cultures of hPDLMSC, compared with
7 monolayer cultures of hPDLMSC at each time point (Fig. 2B). Furthermore, we immunostained
8 hPDLMSC in monolayer and spheroid culture to examine OCT4 and NANOG protein
9 expression. OCT4 and NANOG protein was not expressed in monolayer cultured hPDLMSC,
10 although most of the hPDLMSC in spheroid culture expressed OCT4 and NANOG (Fig. 2C,
11 2D). These data further support the notion that spheroid culture conditions can enhance the
12 ‘stemness’ of hPDLMSC, compared with monolayer culture conditions.

13 **3.3. Enhancement of osteogenesis in hPDLMSC spheroids**

14 hPDLMSC have been shown to differentiate into osteogenic tissue (1-3). To examine
15 the osteogenic potential of hPDLMSC spheroids, we cultured spheroid and monolayer cultures
16 of hPDLMSC in osteoinductive medium (OIM) for 10 days. In osteogenic conditions, the
17 monolayer-grown hPDLMSC formed few arizarin red-positive calcium deposits. In contrast,
18 spheroid cultures of hPDLMSC were stained strongly with arizarin red (Fig. 3A). To further

1 compare the osteogenic potential of spheroid and monolayer cultures of hPDLMSC, we
2 performed a nodule formation assay in monolayer conditions. We seeded hPDLMSC from
3 monolayer culture at a density of 20,000 per well, and hPDLMSC from 10 spheroids, into a
4 24-well plate. After these cultures reached confluence, they were cultured in OIM for 14 days
5 and then stained with alizarin red. The area of alizarin red-positive nodules from
6 spheroid-derived hPDLMSC was significantly greater than monolayer-derived hPDLMSC (Fig.
7 3B, 3C). Spheroid cultured gingiva-derived MSC (GMSC) increased the percentage of G0 /G1
8 phase cells and enhanced multipotent differentiation capacities (24). It has been reported that
9 stem cells reside in a reversible G0 phase, which is called 'quiescent' identified by lack of cell
10 proliferation markers (25). The proliferation of hPDLMSC in monolayer and spheroid culture
11 were assessed after 1, 2 and 3 days by Cell Counting kit-8. Although monolayer cultured
12 hPDLMSC exhibited significantly higher proliferation rates than spheroid culture, hPDLMSC in
13 spheroid culture were not increased (Fig. 3D). These data suggested that spheroid culture
14 suppressed the proliferation of hPDLMSC and enhanced osteogenic potential of hPDLMSC
15 than monolayer culture.

16 ***3.4. Osteogenic potential of hPDLMSC spheroids in a mouse calvarial bone defect*** 17 ***model***

18 To confirm the osteogenic potential of hPDLMSC spheroids *in vivo*, we performed a

1 transplantation assay, using spheroid and monolayer hPDLMSC cultures, in a mouse calvarial
2 defect model. We first examined the ability of spheroid hPDLMSC to generate newly formed
3 bone on calvariae, using X-ray examination. Treatment with spheroid hPDLMSC resulted in
4 new bone formation in defects of murine calvaria at 14 day-post-surgery, whereas sham surgery
5 did not (Fig. 4A, 4B). Treatment with monolayer-grown hPDLMSC induced significantly less
6 new bone formation, compared with spheroid hPDLMSC treatment, as shown in soft X-ray
7 pictures (Fig. 4A, 4B). To quantify the newly formed bone, calvariae treated with either
8 spheroid or monolayer cultures of hPDLMSC were subjected to bone histomorphometric
9 analysis. The percentage of defect closure by newly formed bone in calvariae treated with
10 spheroid hPDLMSC was significantly greater than the percentage of closure in calvariae treated
11 with monolayer hPDLMSC (Fig. 4C, 4D). Furthermore, spheroid hPDLMSC treatment resulted
12 in a ratio of newly formed bone area/total defect area in the calvariae that was significantly
13 enhanced, compared with treatment by monolayer hPDLMSC (Fig. 4C, 4E). These data suggest
14 that spheroid hPDLMSC have a strong potential for bone regeneration.

15 ***3.5. Enhancement of osteogenesis by spheroid cultures of hPDLMSC through***
16 ***SFRP3-mediated ALP activation***

17 To investigate whether osteogenic genes are induced in spheroid hPDLMSC treated
18 with OIM, the expression of mRNA of the following osteogenic genes was measured by

1 real-time PCR: *Runt-related transcription factor 2 (RUNX2)*, *Type 1 collagen (COL1)*, *ALP*,
2 *osteocalcin (OCN)*, *bonesialo protein (BSP)* and *osteopontin (OPN)*. The expression of *Runx2*,
3 *COL1* and *ALP* mRNA in spheroid hPDLMSC was significantly increased, compared with
4 monolayer cultures of hPDLMSC, after 7 days of culture (Fig. 5A). Late markers of osteogenic
5 differentiation, *OCN*, *BSP* and *OPN*, also showed enhanced mRNA expression in spheroid
6 hPDLMSC, compared with monolayer cultures of hPDLMSC, after 10 days of culture (Fig. 5A).
7 We examined the effect of spheroid culturing in OIM on the ALP activity of hPDLMSC. The
8 ALP activity of hPDLMSC in spheroid culture was significantly increased, compared with
9 hPDLMSC in monolayer culture (Fig. 5B). These data suggest that hPDLMSC spheroid culture
10 enhances osteogenesis through the activation of ALP. Finally, to elucidate the mechanisms by
11 which spheroid culture enhances ALP activation in hPDLMSC grown in OIM, we focused on
12 SFRP3, a Wnt signaling antagonist. SFRP3 has been reported to regulate the osteoblastic
13 differentiation of hPDLMSC (26). To analyze the expression of SFRP3 during osteogenesis in
14 spheroid cultures grown in OIM, real-time PCR analysis and western blotting were performed.
15 Growth in OIM increased gene and protein expression of SFRP3 in monolayer-grown
16 hPDLMSC, as reported previously (26). Similarly, growth in OIM enhanced gene and protein
17 expression of SFRP3 in spheroid hPDLMSC (Fig. 5C, 5E). Furthermore, SFRP3 expression in
18 spheroid hPDLMSC was enhanced, compared with monolayer hPDLMSC, when both were

1 grown in OIM. On the other hand, OIM significantly suppressed *WNT5A* mRNA expression in
2 monolayer and spheroid cultured hPDLMSC. The levels of *WNT5A* mRNA expression in OIM
3 treated hPDLMSC spheroids were similar to monolayer cultured hPDLMSC with OIM (Fig.
4 5D). To confirm the role of SFRP3 in the enhancement of ALP gene expression and protein
5 activation in spheroid hPDLMSC, a knockdown assay, utilizing siRNA of *SFRP3*, was
6 performed. Transfection of siRNA targeting *SFRP3* significantly reduced both OIM-induced
7 *ALP* gene expression and OIM-induced ALP activity in spheroid cultures (Fig. 5F, 5G). These
8 data suggest that the enhancement of osteogenic potential in hPDLMSC spheroid is regulated
9 through SFRP3-mediated ALP activation.

10

11 **4. Discussion**

12 We generated spheroid hPDLMSC using microwell chips and found that spheroid
13 culture increased the expression of NANOG and OCT4, 'stemness' markers in hPDLMSC.
14 Spheroid culture of human MSC (hMSC) also has been shown to increase OCT4, Sox2 and
15 NANOG. In accordance with this enhancement by spheroid culture, expression levels of
16 miRNAs that were involved in stem cell potency were changed, along with levels of histone H3
17 acetylation in K9 promoter regions of OCT4, Sox2 and NANOG (27). Various reports have
18 indicated that hMSC undergo considerable changes in monolayer culture, although they

1 conserve basic features of hMSC, such as CD29, 44, 73, 90 and 105 expression, as well as
2 osteogenic, chondrogenic and adipogenic differentiation (1-4). Cultures of hMSC that have
3 accumulated numerous passages exhibit signs of aging and spontaneous differentiation,
4 including increases in cell size and reduced capacities for multipotent differentiation and tissue
5 repair (28, 29). The loss of MSC capabilities, as described above, is a hurdle for the therapeutic
6 use of these cells. However, several passages of stem cells are essential for regenerative therapy
7 because therapeutic tissue repair requires an abundance of cells. Thus, it is very important to
8 develop techniques that allow stem cells to preserve their 'stemness' properties. Spheroid
9 cultures that improve the capacities of MSC may be a useful method.

10 Recently, various regenerative therapies have been developed for periodontal tissue
11 defects that result from periodontitis. The enamel matrix derivative (Emdogain) and
12 platelet-derived growth factor have been known to promote periodontal regeneration (30). The
13 phase III trials of Fibroblast growth factor-2 (FGF-2) have shown that FGF-2 is superior in its
14 efficacy for regeneration of periodontal tissue, compared with Emdogain. However, even FGF-2
15 fills just 40% of bone defects and cannot fully repair periodontal defects (31). Therefore, novel
16 therapies for periodontal regeneration are strongly desired. Numerous studies have evaluated the
17 osteogenic and odontogenic regenerative capacity of PDLMSC both *in vivo* and *in vitro*, and
18 have suggested their potential for periodontal regeneration in periodontitis patients (3, 32, 33).

1 Therapy involving sheets of hPDLMSC—cell sheet engineering—is an elegant method that
2 harvests cells as an intact layer without the help of proteolytic enzymes (32). Similar to
3 hPDLMSC sheets, the use of spheroid hPDLMSC cultures is also a favorable method that
4 maintains an intact extracellular matrix and does not involve detachment from wells. In the
5 present study, we used microwell chips for spheroid formation that allow formation of
6 homogeneous spheroid colonies. This system for spheroid formation is very easy to manage,
7 and is sufficiently high-throughput that it may prove beneficial for regenerative medicine as
8 hPDLMSC cell sheets. Spheroid cultures of PDLMSC, generated using microwell chips, are
9 currently under investigation for periodontal regeneration in murine periodontitis models.
10 The periodontal tissue defect is created in the mesial furcation of maxillary first molar of SD
11 rat using a dental round bur, which is filled hPDLMSC. The regenerated periodontal tissue
12 including cementum, periodontal ligament and alveolar bone are examined after four weeks.
13 Further studies are necessary for the clinical application of the PDLMSC spheroid system.

14 Here, we show that the spheroid form of PDLMSC enhances osteogenic potential by
15 increasing expression of osteogenic genes, as well as ALP activation. Yamada et al. have shown
16 that SFRP3 and SFRP4 are Wnt signaling antagonists with opposing activities: SFRP3 promotes,
17 and SFRP4 suppresses, OIM-induced osteoblastic differentiation of hPDLMSC in monolayer
18 culture (26). Consistent with this prior report, transfection with siRNA for *SFRP3* suppressed

1 OIM-induced ALP gene expression and activity in spheroid cultures of hPDLMSC (Fig. 5D,
2 5E). In contrast, ALP activity in spheroid cultures of hPDLMSC was not completely reduced to
3 a comparable to a monolayer culture, upon treatment with siRNA targeting *SFRP3*; this
4 suggests that other factors or signaling may be involved in osteogenesis of spheroid hPDLMSC.
5 In our study, we did not clarify the function of SFRP4 in spheroid cultures of hPDLMSC, but
6 have found that growth in OIM decreases *SFRP4* mRNA expression in spheroid cultures of
7 hPDLMSC (data not shown). It is conceivable that SFRP4 may play a role in osteogenesis in
8 spheroid cultures of hPDLMSC, similar to the role of SFRP3. WNT5A interacts with SFRP3
9 and the knockdown of WNT5A enhanced the ALP activity in hPDLMSC (26). Consistent
10 with this paper, OIM also inhibited *WNT5A* mRNA expression in PDLMSC of both
11 spheroid and monolayer culture in current study. Wnt signaling consists of canonical
12 and non-canonical pathways. The non-canonical Wnt pathways inhibits the canonical
13 pathways (34). Taken together, OIM-induced downregulation of WNT5A, a typical
14 non-canonical WNT pathway ligands may antagonizes the canonical Wnt pathways,
15 which then enhance osteogenesis in PDLMSC. However, there is no difference between
16 *Wnt5A* mRNA expression in monolayer and spheroid culture. These finding suggests
17 that downregulation of WNT5A in spheroid culture is compensated by other Wnt
18 ligands.

1 We showed spheroid culture enhanced OCT4 and NANOG mRNA and protein
2 expression in hPDLMSC, which are stem cell markers and OIM-induced osteogenesis in
3 hPDLMSC compared to monolayer culture. Similar to our results, some researchers indicated
4 spheroid culture in MSC increased stem cell markers such as NANOG and OCT4 (35, 36).
5 Furthermore, Zhang et al. reported spheroid culture of GMSC enhanced NANOG and OCT4,
6 and promoted adipocyte differentiation (24). Subbarayan et al. also showed that GMSC
7 upregulated not only pluripotent marker, OCT4, NANOG and Sox2, and increased also bone
8 formation related gene, OPN (37). Recently, Wada et al. have found that Semaphorin 3A
9 (SEMA3A) induced stem cell markers including NANOG and OCT4 in PDL cells and
10 SEMA3A overexpressing PDL cells exhibited enhanced osteogenic capacity (38). Taken
11 together, SEMA3A may play a role in enhancement of stemness and osteogenesis in hPDLMSC
12 spheroid. However, the detailed mechanisms that enhanced *in vitro* osteogenesis and *in*
13 *vivo* bone formation by which hPDLMSC spheroids occur remain unclear. Future studies will
14 address the detailed effects of hPDLMSC spheroids on environments during the induction of
15 osteogenesis in bone defects. Furthermore, we found that spheroid culture suppressed cell
16 proliferation and maintained stemness in hPDLMSC. The environment of MSCs, which is termed
17 'niche' is crucial for maintaining stem cell function and preventing differentiation (39). The niche
18 presents ECM and facilitates cell-cell interaction. Such environment in niche acts to prevent

1 differentiation and maintain quiescence (40). Some researchers showed that MSC spheroids retained
2 the functionality of bone marrow niche cells, maintaining expression of stem cell markers and
3 downregulating cell cycle progression gene (41). Taken together, the 3D spheroid culture may
4 provide MSC bone marrow or periodontal niche model. Our spheroid culture system using
5 microwell chips may make a useful and relevant platform for pharmaceutical trial and regenerative
6 medicine.

7 In this study, hPDLMSC spheroids with approximately 100 μm diameters were
8 formed using a microwell chip with 500 μm diameters and depths. Sakai et al. reported that the
9 size of spheroids can be controlled by changing scale of well in microwell chip (20). In other
10 words, the microwell with longer diameter of the chip can form the larger size of spheroid. The
11 relationship among the cell microsphere, the cell density and cell number is one of important
12 factors on the spheroid culture. As an expected phenomenon, the cell density of large spheroid
13 is lower than that of small spheroid. The cell death by the depletion of oxygen and nutrient
14 occurs in the center of large spheroid, which is called central necrosis. Glicklis et al. reported
15 that spheroid from hepatocytes with a diameter of 200 μm occurred central necrosis (42). In
16 current study, we examined characters and properties of spheroid formed from 2,000
17 hPDLMSC considering efficiency in murine transplantation experiment, because there is almost
18 no difference about a diameter of spheroid between spheroids formed from 2,000 and 4,000

1 hPDLMSC (Fig. 1). It has not been remained unclear about good properties of spheroid for
2 osteogenesis and bone regeneration, which are the cell number, cell density and a diameter of
3 hPDLMSC spheroid. Our next study will focus on proper condition of hPDLMSC spheroid for
4 periodontal tissue regeneration.

5 A major family of cell-surface adhesion molecules is the integrin family; these are
6 essential proteins for spheroid formation. The expression of integrins is upregulated in
7 spheroid-cultured osteoblasts, and inhibition of integrin expression disrupts formation of
8 osteoblasts in spheroid cultures (43). Yamamoto et al. revealed that spheroid culture conditions
9 promote osteoblastic differentiation of dental papilla cells, including MCS, by integrin signaling
10 (12). It has been reported that $\alpha 2\beta 1$ integrin signaling to cell-secreted collagen may facilitate the
11 development of osteoblastic phenotypes of MSC that are grown in spheroid culture (44). Taken
12 together, Wnt and integrin signaling may provide key signals in the enhancement of
13 osteogenesis in spheroid cultures of hPDLMSC. Further investigation is needed to clarify the
14 mechanisms of the increase in osteoblastic phenotypes in spheroid-cultured hPDLMSC.

15 In conclusion, we have shown that spheroid culture enhances 'stemness' of hPDLMSC,
16 and osteogenesis of hPDLMSC grown in OIM, compared with monolayer culture; our data
17 indicate that this occurs through ALP activation. These data suggest that PDLMSC spheroids
18 may serve as a novel and useful tool for bone regeneration.

1

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7

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1 **Figure Legends**

2 **Figure 1. Formation of hPDLMSC spheroids.**

3 (A) Photograph of spheroid colonies from 1,000, 2,000 or 4,000 hPDLMSC during culture (1-3
4 days). Spheroid formed from 1,000 hPDLMSC is irregular compared with 2,000 and 4,000
5 hPDLMSC. Scale bar shows 100 μ m. (B) Photograph of hPDLMSC spheroid colonies during culture
6 (3–72 h). The hPDLMSC aggregated 6 h after harvest and formed spheroids in microwell chips.
7 Scale bar shows 100 μ m. (C) Change in diameter of hPDLMSC spheroids during culture. The
8 diameter of hPDLMSC spheroids (n=7) significantly decreased after 24 h. * $p < 0.05$ (compared with
9 6 h). (D) Live and dead staining of hPDLMSC spheroids at 6, 9, 12, 24, 48 and 72 h. Live cells were
10 stained green and dead cells were stained red. Few red cells were found inside hPDLMSC spheroids.
11 Scale bar shows 100 μ m.

12

13 **Figure 2. Expressions of MSC markers and ‘stemness’ markers in hPDLMSC spheroids.**

14 (A) Expression of MSC-positive and MSC-negative markers in monolayer and spheroid cultures of
15 hPDLMSC for 24 h. The MSC-positive markers CD29, 44, 73, 90, 105, 106 and 146 were expressed
16 in spheroid cultures of hPDLMSC at concentrations similar to those observed in monolayer culture.
17 In contrast, CD34 and 45, which are hematopoietic markers, were not expressed in spheroid cultures
18 of hPDLMSC, similar to observations of hPDLMSC grown in monolayer culture. Isotype-matched
19 IgG was used as negative control. Red: isotype control, blue: MSC positive or negative marker. (B)

1 Expression of 'stemness' markers in hPDLMSC grown in monolayer and spheroid culture. The
2 levels of both *OCT4* and *NANOG* expression in hPDLMSC spheroids were significantly higher than
3 those observed in monolayer culture on each time point. * $p < 0.05$ (compared with monolayer
4 culture). (C) Immunofluorescence staining of *NANOG* and *OCT4*. The hPDLMSC were cultured in
5 monolayer and spheroid condition for 1 day. Monolayer and spheroid cultured hPDLMSC fixed in
6 4% PFA were immunostained with primary antibodies specific for *OCT4* and *NANOG*. Scale bar
7 shows 100 μm . (D) The percentage of *OCT4* and *NANOG* antibodies positive area in hPDLMSC
8 spheroid. The areas stained with green were measured by NIH image J.

9

10 **Figure 3. Enhancement of Osteogenic differentiation in hPDLMSC from spheroid culture**
11 **in vitro.**

12 (A) Osteogenic differentiation of hPDLMSC spheroids in 3D culture. Spheroid and monolayer
13 cultures of hPDLMSC were grown in osteoinductive medium for 10 days. Whole hPDLMSC
14 spheroids were alizarin red positive, while hPDLMSC in monolayer cultures appeared only
15 partially stained with alizarin red. Scale bar shows 100 μm . (B, C) Osteogenic differentiation of
16 hPDLMSC from spheroid culture and monolayer culture. The hPDLMSC from both spheroid
17 and monolayer cultures were incubated in monolayer conditions until confluent in normal
18 medium. Cells were then cultured for 14 days in osteoinductive medium and stained with
19 alizarin red (B). The area of alizarin red-positive nodules formed by hPDLMSC from spheroid

1 culture was comparatively greater than hPDLMSC from the monolayer culture (C). * $p < 0.05$.

2 (D) Proliferation in spheroid cultured hPDLMSC. spheroid and monolayer culture were grown in

3 normal medium for 1, 2 and 3 days. The proliferation rates of spheroid and monolayer cultured

4 hPDLMSC were measured by Cell Counting kit-8. * $p < 0.05$ (compared with Day1 monolayer

5 culture).

6 **Figure 4. New bone formation by hPDLMSC from spheroid culture in a mouse calvaria**

7 **defect model.**

8 (A) X-ray images of parietal bone at 14 days after transplantation of matrigel, hPDLMSC from

9 monolayer culture or hPDLMSC from spheroid culture. Matrigel was used as a scaffold for

10 hPDLMSC. The spheroid hPDLMSC treatment formed new bone in a defect of parietal bone.

11 (B) Calvariae bone volume after treatment with matrigel, hPDLMSC from monolayer culture or

12 hPDLMSC from spheroid culture was quantitatively examined using an image analyzer. (C)

13 Photographs of histological sections that were representative of the sham surgery group, the

14 matrigel transplantation group, the monolayer-cultured hPDLMSC transplantation group and

15 the spheroid hPDLMSC transplantation group at 14 days after operation. These sections were

16 stained with hematoxylin/eosin. Solid lines indicate the edge of host bone. The area between the

17 solid lines shows a defect by trephine bur, while the areas between solid and dotted lines show

18 newly formed bone. (D, E) Bone histomorphometric analysis of new bone formation following

1 treatment with hPDLMSC from either spheroid or monolayer cultures. The percentage of defect
2 closure / defect length (panel D) and the rate of new bone formation / total defect area (panel E)
3 were calculated in each group.

4 **Figure 5. Enhancement of osteogenesis of spheroid hPDLMSC through SFRP3-mediated**
5 **ALP activation.**

6 (A) The expression of osteogenesis-related genes in spheroid and monolayer hPDLMSC
7 cultured with osteoinductive medium (OIM) on Days 7, 10 and 14. The expression of *RUNX2*,
8 *COL1*, *ALP*, *OCN*, *BSP* and *OPN* mRNA were significantly increased in spheroid hPDLMSC,
9 compared with monolayer hPDLMSC. * $p < 0.05$. (B) ALP activation within monolayer and
10 spheroid hPDLMSC cultured with OIM on Days 0, 7, 10 and 14. ALP activation was
11 significantly enhanced in spheroid hPDLMSC, compared with monolayer culture, on each day.
12 * $p < 0.05$. (C, D and E) *SFRP3* and *WNT5A* mRNA expression and SFRP3 protein expression in
13 monolayer and spheroid hPDLMSC, cultured with or without OIM. The expressions of *SFRP3*
14 and *WNT5A* mRNA were examined on Days 3, 5, 7 and 10 by real-time RT-PCR (C, D). The
15 expression of SFRP3 protein was examined on Day 7 by western blotting analysis (E). Culture
16 in OIM increased gene and protein expression of SFRP3 in both monolayer and spheroid
17 cultures of hPDLMSC. The expression of *SFRP3 mRNA* was significantly enhanced in spheroid
18 hPDLMSC, compared with monolayer hPDLMSC. * $p < 0.05$. (F, G) Monolayer-cultured

1 hPDLMSC and spheroid hPDLMSC (2,000 cells/spheroid) were plated on a dish for
2 comparative monolayer culture. The siRNA for SFRP3 or the control were transfected into the
3 cells, which were then treated with or without OIM for 7 days. (F) Effects of siSFRP3 on *ALP*
4 mRNA expression in monolayer and spheroid hPDLMSC cultured with OIM. On Day 7,
5 expression of *ALP* mRNA was examined by real-time RT-PCR. * $p < 0.05$. (G) Effects of
6 siSFRP3 on ALP activity in monolayer and spheroid hPDLMSC cultured with OIM. On Day 7,
7 expression of ALP activity was measured. * $p < 0.05$.
8

1

2 Table 1. Primers Sequence for realtime RT-PCR

3

Genes	Sequences of primers
GAPDH	F: 5'-GAAGGTGAAGGTCGGAGTC-3' R: 3'-GAAGATGGTGATGGGATTTC-5'
OCT4	F: 5'-AGCAAAACCCGGAGGAGT-3' R: 3'-CCACATCGGCCTGTGTATATC-5'
NANOG	F: 5'-TGAACCTCAGCTACAAACAG-3' R: 3'-TGGTGGTAGGAAGAGTAAAG-5'
RUNX2	F: 5'-AACCCCTAATTTGCACTGGGTCA-3' R: 3'-CAAATTCCAGCAATGTTTGTGCTAC-5'
COL1	F: 5'-AGGGCTCCAACGAGATCGAGATCCG-3' R: 3'-TACAGGAAGCAGACAGGGCCAACGTCG-5'
ALP	F: 5'-ACGTGGCTAAGAATGTCATC-3' R: 3'-CTGGTAGGCGATGTCCTTA-5'
OPN	F: 5'-CCAAGTAAGTCCAACGAAAG-3' R: 3'-GGTGATGTCCTCGTCTGTA-5'
BSP	F: 5'-AAAACGAAGAAAGCGAAGC-3'

R: 3'-TATTCATTGACGCCCGTGTA-5'

OCN F: 5'-GGTGCAGCCTTTGTGTCCAA-3'

R: 3'-CCTGAAAGCCGATGTGGTCA-5'

SFRP3 F: 5'-CTCATCAAGTACCGCCACTCGTG-3'

R: 3'-CCGAAATAGGTCTTCTGTGTAGCTC-5'

WNT5A F: 5'-CAAGGGCTCCTACGAGAGTGC-3'

R: 3'-GCCGCGCTGTCTACTTCT-5'

1

Fig. 1

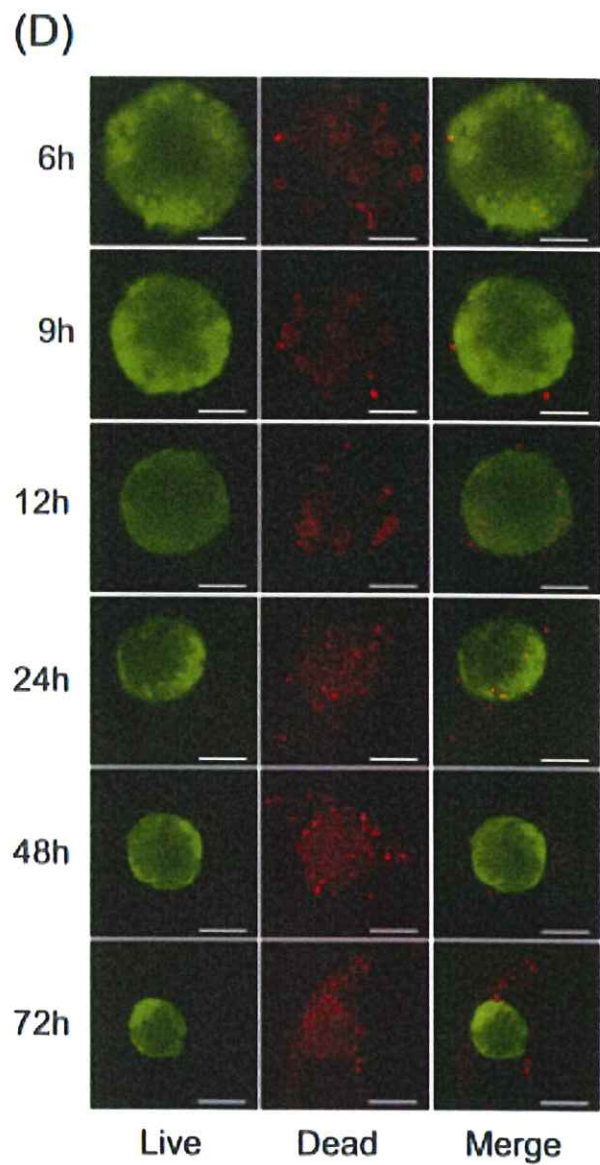
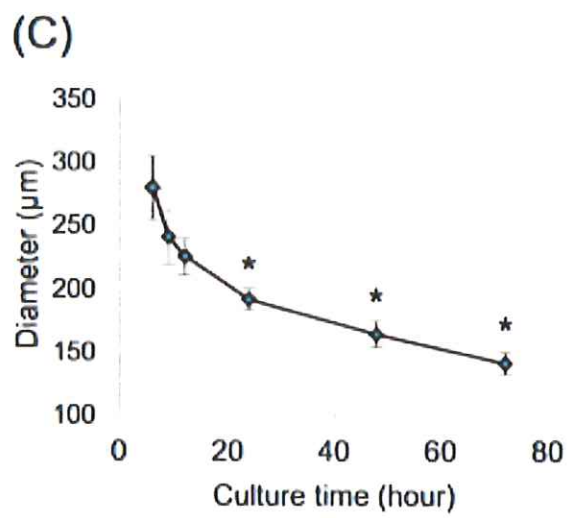
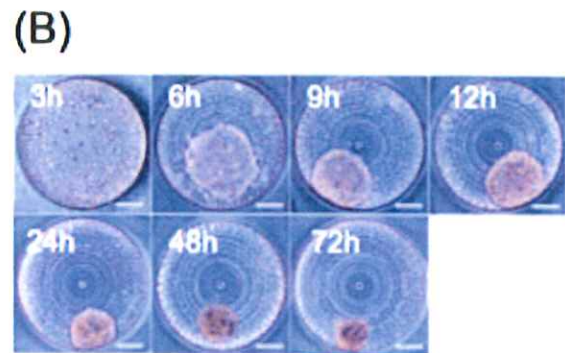
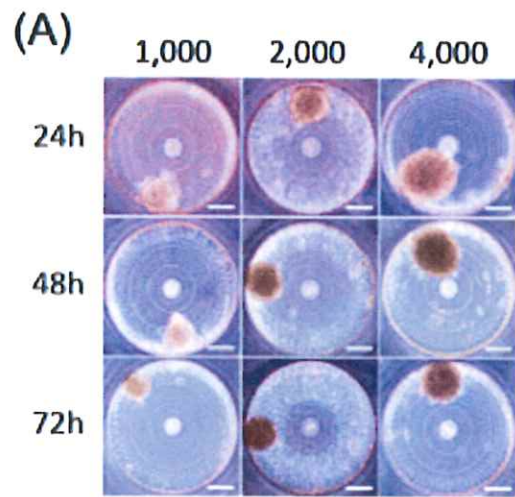


Fig. 2

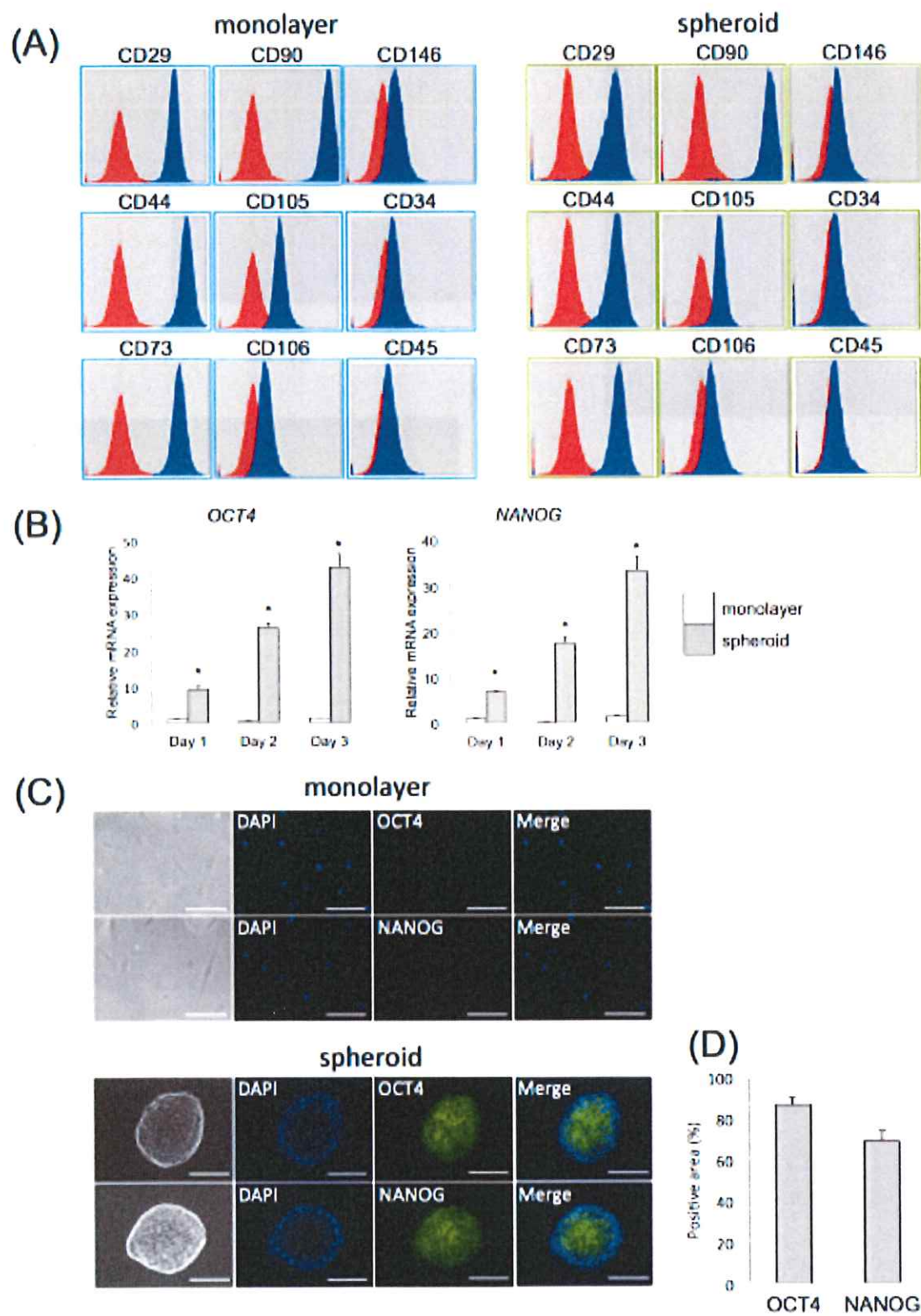
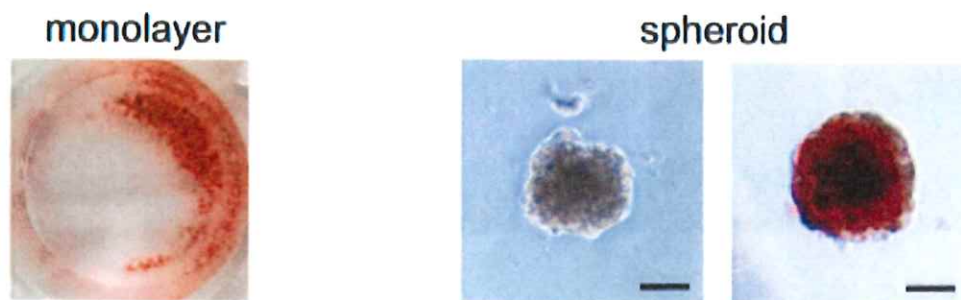
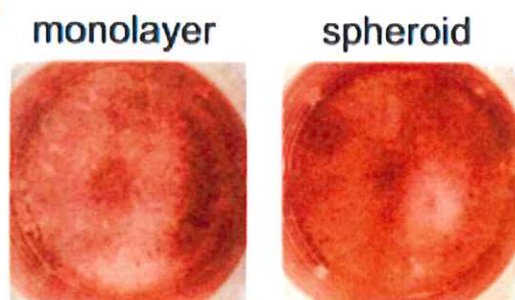


Fig. 3

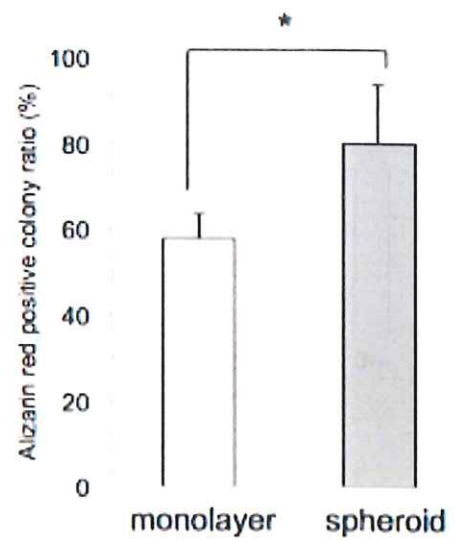
(A)



(B)



(C)



(D)

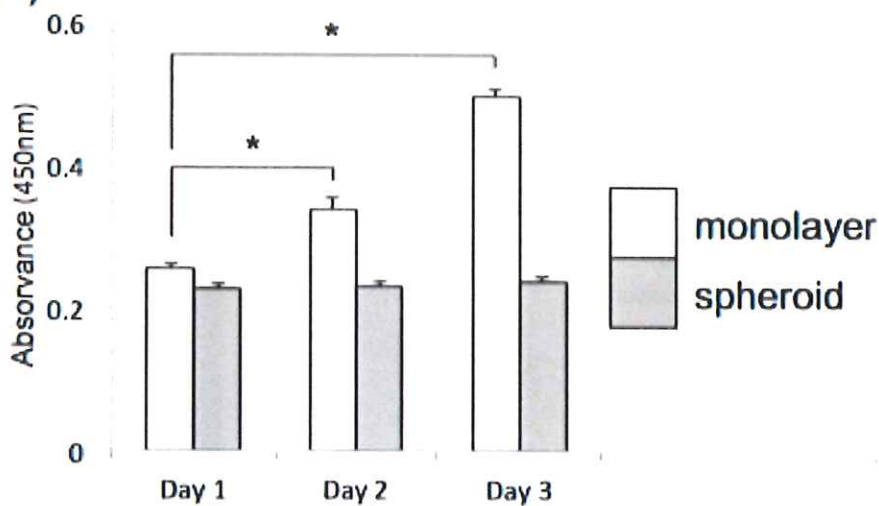
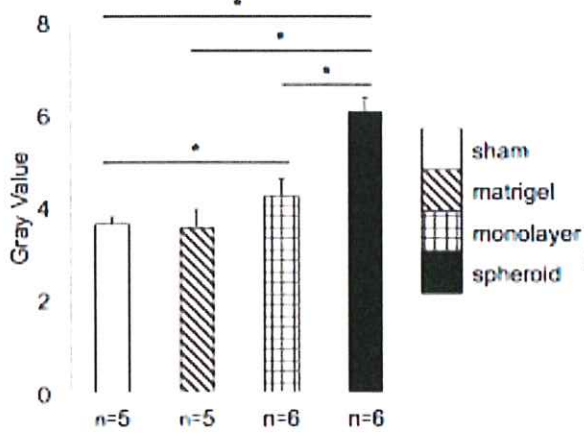


Fig. 4

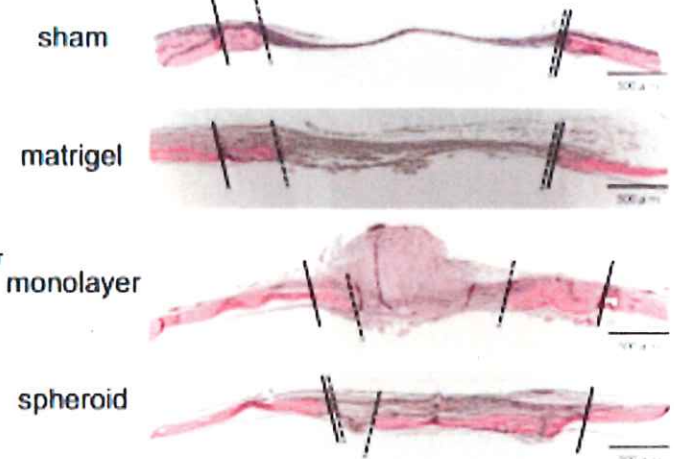
(A) sham matrigel monolayer spheroid



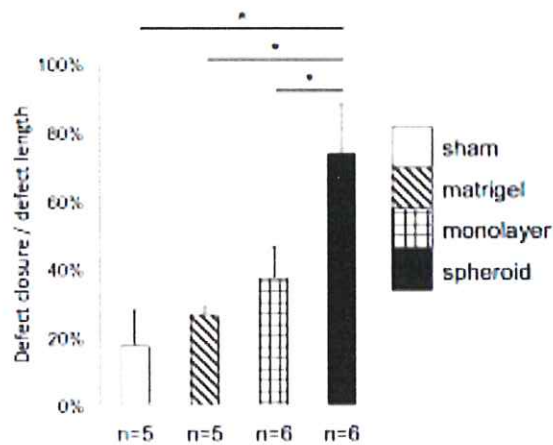
(B)



(C)



(D)



(E)

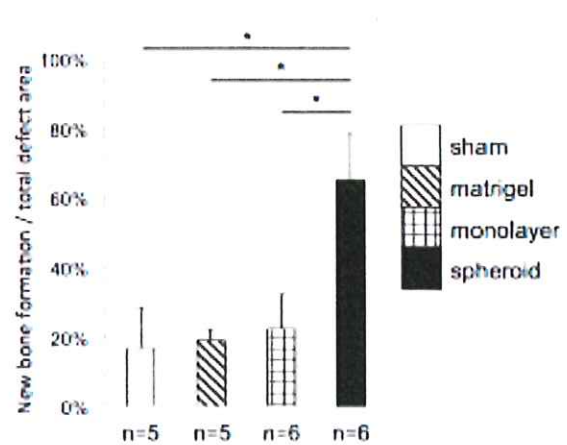


Fig. 5

